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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/998,518

11/29/2001

Paul Rabinowitz

102360-230-NP

24964 GOODWIN PROCTER & HOAR LLP 7 BECKER FARM RD ROSELAND, NJ 07068



CONFIRMATION NO. 5383
FORMALITIES LETTER
\*OC000000007349654\*

Date Mailed: 01/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/998,518 Applicati n Number **RANSMITTAL** November 29, 2001 **Filing Date FORM** Paul Rabinowitz, et al First Named Inventor 2121 used for all correspondence after initial filing) Group Art Unit **Examiner Name** Attorney Docket Number | 102360-230-NP Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund post card Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Alison B. Kessler, Esq. or Individual name Signature Date February 12, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class Feb. 12, 2002 mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Alison B. Kessler, Esq.(Reg. No. 47,703) Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date | February 12, 2002

Signature

PTO/SB/17 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known EE TRANSMITTAL 09/998,518 Application Number November 29, 2001 for FY 2001 Filing Date Paul Rabinowitz, et al. First Named Inventor **Examiner Name** Patent fees are subject to annual revision. Group Art Unit 2121 PADE AMOUNT OF PAYMENT (\$) 65102360-230-NP Attomey Docket No METHOD OF PAYMENT FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES 1. | indicated fees and credit any overpayments to: Large Small Deposit Entity **Entity** Account 06-0923 Fee Number Fee Paid Fee Description Code (\$) Code (\$) Deposit 65.00 Goodwin Procter LLP 105 130 205 65 Surcharge - late filing fee or oath Account Name 127 50 227 25 Surcharge - late provisional filing fee or Charge Any Additional Fee Required cover sheet ~ Under 37 CFR 1.16 and 1.17 130 139 130 Non-English specification 139 Applicant claims small entity status. See 37 CFR 1.27 147 2.520 147 2.520 For filing a request for ex parte reexaminatio Payment Enclosed: 112 920\* 112 9201 Requesting publication of SIR prior to Money Check Examiner action Credit card l Other Order 113 1.840\* 113 1,840 Requesting publication of SIR after **FEE CALCULATION** Examiner action Extension for reply within first month 115 110 215 55 1. BASIC FILING FEE 390 216 195 Extension for reply within second month Large Entity Small Entity Fee Description 890 217 445 Extension for reply within third month Fee Paid Code (\$) Code (\$) 1,390 218 695 Extension for reply within fourth month 201 355 Utility filing fee 101 710 1,890 228 945 Extension for reply within fifth month 206 160 Design filing fee 106 320 219 155 310 Notice of Appeal 245 Plant filing fee 120 310 220 155 Filing a brief in support of an appeal Reissue filing fee 108 710 208 355 221 135 270 Request for oral hearing 114 150 75 Provisional filing fee 1,510 138 1,510 138 Petition to institute a public use proceeding SUBTOTAL (1) 110 240 55 Petition to revive - unavoidable 2. EXTRA CLAIM FEES 141 1.240 241 620 Petition to revive - unintentional Fee from Fee Paid Utility issue fee (or reissue) Extra Claims 242 620 below Х 243 220 Design issue fee Total Claims -20\*\* Independent Plant issue fee 144 600 244 300 Claims Multiple Dependent 122 130 122 130 Petitions to the Commissioner 50 123 123 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 126 180 126 180 Submission of Information Disclosure Stmt Fee Fee Fee Description Fee Fee Code (\$) Code (\$) 40 Recording each patent assignment per 581 40 103 18 203 Claims in excess of 20 property (times number of properties) Filing a submission after final rejection (37 CFR § 1.129(a)) 102 80 202 40 Independent claims in excess of 3 146 710 246 355 104 270 204 135 Multiple dependent claim, if not paid For each additional invention to be 710 249 149 355 109 80 209 40 \* Reissue independent claims examined (37 CFR § 1.129(b)) over original patent 710 279 355 Request for Continued Examination (RCE) 110 18 210 \*\* Reissue claims in excess of 20 and over original patent 169 900 Request for expedited examination of a design application (\$) Other fee (specify) SUBTOTAL (2) (\$) 65.00 \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \*\*or number previously paid, if greater; For Reissues, see above

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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Alison B. Kessler, Esq	Registration No. (Attorney/Agent)	47,703		973-994-7872
Signature	Win wen			Date	February 12, 2002